



See 401 #

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 16 December 1998

Ann C. Williams

Ann C. Williams

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PATENT

Attorney Docket No. 19957-701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
Bart Meltzer, Terry Allen,)
Matthew Daniel Fuchs,)
Robert John Glushko, Murray Maloney)
)
Application No.: 09/173,858)
)
Filed: 16 October 1998)
)
For: **Documents For Commerce In Trading**)
Partner Networks And Interface)
Definitions Based On The Documents)
_____)

Group Art Unit: 2776

Examiner: Not Yet Assigned

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

ATTN: BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application dated
10 November 1998, enclosed please find:

- [x] Form PTO-1533 (copy of Notice to be returned with response);
- [x] Fee Transmittal (Form PTO/SB/17) for \$2,186.00;

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 CFR § 1.16(e);
- ☐ a Declaration Claiming Small Entity Status;
- ☒ an Assignment document, Form PTO-1595, and the \$40.00 Assignment Recordation Fee;
- ☐ Revocation & New Power of Attorney by Assignee of Entire Interest;
- ☐ a verified English translation of the Application, and the \$130.00 fee as set forth in 37 CFR § 1.17(k);
- ☒ Other: Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action;
Information Disclosure Citation (Form PTO-1449); Seven (7) Cited References ;
- ☐ A check in the amount of \$_____.
- ☒ Charge \$2,186.00 to Deposit Account No. 23-2415 (Docket No. 19957-701).

The Commissioner is hereby authorized to charge any fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 23-2415 (Docket No. 19957-701). A duplicate of this paper is enclosed.

Respectfully submitted,

WILSON, SONSINI, GOODRICH & ROSATI

By


Kent/R. Richardson
Registration No. 39,443

650 Page Mill Road
Palo Alto, CA 94304-1050
(650) 493-9300

Date: 16 December 1998



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/173,858 10/16/98 MELTZER B 19957.701

0232/1110

MARK A HAYNES
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO CA 94304

NOT ASSIGNED

DATE MAILED: 2776

11/10/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 2146.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ 82.00 for 1 independent claims over 3.
\$ 1144.00 for 52 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

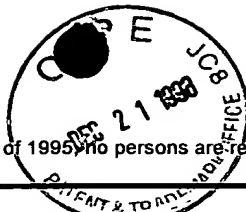
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTALNote: Effective October 1, 1997.
Patent fees are subject to annual revision.**Complete if Known**

Application Number	09/173,858
Filing Date	16 October 1998
First Named Inventor	Bart Meltzer
Group Art Unit	2776
Examiner Name	Not Yet Assigned
Attorney Docket Number	19957-701

TOTAL AMOUNT OF PAYMENT (\$) 2,186.00**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

23-2415 (19957-701)

Wilson Sonsini Goodrich & Rosati

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
-
- ☐
- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:
-
- ☐
- Check
- ☐
- Money Order
- ☒
- Other

FEE CALCULATION**1. FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	790	395	Utility filing fee	790.00
106	206	330	165	Design filing fee	
107	207	540	270	Plant filing fee	
108	208	790	395	Reissue filing fee	
114	214	150	75	Provisional filing fee	
SUBTOTAL (1) (\$)					790.00

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
72 - 20 =	52 X	22 =	1,144.00
Independent Claims 4 - 3 =	1 X	82 =	82.00
Multiple Dependent Claims	X	270 =	

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	
103	203	22	11	Claims in excess of 20	
102	202	82	41	Independent claims in excess of 3	
104	204	270	135	Multiple dependent claim	
109	209	82	41	Reissue independent claims over original patent	
110	210	22	11	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)					1,226.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	130.00
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	
139	239	130	130	Non-English specification	
147	247	2,520	2,520	For filing a request for reexamination	
112	212	920*	920*	Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	55	Extension for reply within first month	
116	216	400	200	Extension for reply within second month	
117	217	950	475	Extension for reply within third month	
118	218	1,510	755	Extension for reply within fourth month	
128	228	2,060	1,030	Extension for reply within fifth month	
119	219	310	155	Notice of Appeal	
120	220	310	155	Filing a brief in support of an appeal	
121	221	270	135	Request for oral hearing	
138	238	1,510	1,510	Petition to institute a public use proceeding	
140	240	110	55	Petition to revive - unavoidable	
141	241	1,320	660	Petition to revive - unintentional	
142	242	1,320	660	Utility issue fee (or reissue)	
143	243	450	225	Design issue fee	
144	244	670	335	Plant issue fee	
122	222	130	130	Petitions to the Commissioner	
123	223	50	50	Petitions related to provisional applications	
126	226	240	240	Submission of Information Disclosure Stmt	
581	281	40	40	Recording each patent assignment per property (times number of properties)	40.00
146	246	790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	790	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) 25 Request for Corrected Filing Receipt					
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					170.00

SUBMITTED BY

Typed or Printed Name

Kent R. Richardson

Signature

Date

10/16/98

Complete (if applicable)

Reg. Number

39,443

Deposit Account User ID

23-2415 (19957-701)